

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10580083
Filing Date	2004-11-19
First Named Inventor	GRAFF, Richard A.
Art Unit	3688
Examiner Name	VIG, Naresh
Attorney Docket Number	Graff-US-04

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6167384	US	2000-12-26	Graff	
	2	6760709	US	2004-07-06	Graff	
	3	6393406	US	2002-05-21	Eder	

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	RICHARD A. GRAFF, "Augmented System and Methods for Computing to Support Fractional Contingent Interests in Property" filed on July 6, 2004. Pages 1-458. USA.	<input type="checkbox"/>
	2	PETER K. TRZYNA, "Preliminary Amendment" filed on June 20, 2008, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-12. USA.	<input type="checkbox"/>
	3	SUSANNA M. MEINECKE DIAZ, "Office Action" mailed on June 26, 2008, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-38. USA.	<input type="checkbox"/>
	4	PETER K. TRZYNA, "Response" filed on July 9, 2008, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-2. USA.	<input type="checkbox"/>
	5	PETER K. TRZYNA, "Response to Notice of Non-Responsive Reply" filed on November 14, 2008, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-5. USA.	<input type="checkbox"/>
	6	SUSANNA M. MEINECKE DIAZ, "Office Action" mailed on April 17, 2009, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-19. USA.	<input type="checkbox"/>
	7	SUSANNA M. MEINECKE DIAZ, "Supplemental Office Action" mailed on December 3, 2009, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-18. USA.	<input type="checkbox"/>
	8	PETER K. TRZYNA, "Amendment and Response" filed on May 24, 2010, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-59. USA.	<input type="checkbox"/>
	9	PETER K. TRZYNA, "Amendment" filed on July 22, 2010, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-3. USA.	<input type="checkbox"/>

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10	SUSANNA M. MEINECKE DIAZ, "Office Action-Final Rejection" mailed on August 4, 2010, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-36. USA.	<input type="checkbox"/>
11	PETER K. TRZYNA, "Response and Request For Reconsideration" filed on October 4, 2010, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-32. USA.	<input type="checkbox"/>
12	PETER K. TRZYNA, "Appeal Brief" filed on September 6, 2011, in Serial No. 10/885,569, filed on July 6, 2004. Pages 1-114. USA.	<input type="checkbox"/>
13	Hawkins, George B. "Using Business Valuation Techniques to Quantify Discounts for Fractional Interests in Real Estate." Banister Financial, Inc. Reprinted from the Spring 1997 Issue.	<input type="checkbox"/>
14	Schlenger et al. "Discount Available When Property Was Held Partly Outright and Partly in QTIP Trust." Estate Planning, vol. 23, no. 9, page 435(2), November 1996.	<input type="checkbox"/>
15	Davidson, Brad. "Valuation of Fractional Interests in Real Estate Limited Partnerships – Another Appraisal Journal, vol. 60, no. 2, page 184(11), April 1992.	<input type="checkbox"/>

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
 None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/PeterKTrzyna/	Date (YYYY-MM-DD)	2011-11-09
Name/Print	Peter K Trzyna	Registration Number	32,601

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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